

Practiti n r's Dock t No. MCEW 9801

PATENT ...

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application Assistant Commission r for Patents Washington, D.C. 20231

## NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s):

m

JASON T. EPPS

DAN L. TERRY

JACKSON G. WEAVER

WARNING: Patent must be applied for in the name(s) of all of the actual inventor(s). 37 CFR 1.41(a) and 1.53(b).

For (title):

## CERTIFICATION UNDER 37 C.F.R. 1.10\*

(Express Mail label number is mandatory.) (Express Mail certification is optional.)

J. DAVID CABELO

(type or print name of person mailing paper)

Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

\*WARNING: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

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1. Typ of Application
This new application is for a(n)
(check one applicable item below)
Original (nonprovisional)
<sup>'</sup> □ Design
☐ Plant
WARNING: Do not use this transmittal for a completion in the U.S. of an International Application under 35 U.S.C. 371(c)(4), unless the International Application is being filed as a divisional, continuation or continuation-in-part application.
WARNING: Do not use this transmittal for the filing of a provisional application.
NOTE: If one of the following 3 items apply, then complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED and a NOTIFICATION IN PARENT APPLICATION OF THE FILING OF THIS CONTINUATION APPLICATION.
☐ Divisional.
☐ Continuation.
Continuation-in-part (C-I-P).
2. Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121)
NOTE: If the new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is an International Application which designated the U.S., or benefit of a prior provisional application is claimed, then check the following item and complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.
WARNING: If an application claims the benefit of the filing date of an earlier filed application under 35 U.S.C. 120, 121 or 365(c), the 20-year term of that application will be based upon the filing date of the earliest U.S. application that the application makes reference to under 35 U.S.C. 120, 121 or 365(c). (35 U.S.C. 154(a)(2) does not take into account, for the determination of the patent term, any application on which priority is claimed under 35 U.S.C. 119, 365(a) or 365(b).) For a c-i-p application, applicant should review whether any claim in the patent that will issue is supported by an earlier application and, if not, the applicant should consider canceling the reference to the earlier filed application. The term of a patent is not based on a claim-by-claim approach. See Notice of April 14, 1995, 60 Fed. Reg. 20,195, at 20,205.
WARNING: When the last day of pendency of a provisional application falls on a Saturday, Sunday, or Federal holiday within the District of Columbia, any nonprovisional application claiming benefit of the provisional application must be filed prior to the Saturday, Sunday, or Federal holiday within the District of Columbia. See 37 C.F.R. § 1.78(a)(3).
☐ The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.
3. Papers Enclosed That Are Required for Filing Date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application
11 Pages of specification
2 Pages of claims
1 Pages of Abstract
_4_ Sheets of drawing
☐ formal
informal
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WARNING: DO, T submit original drawings. A high quality copy of the drawings should be supplied when filing a patent application. The drawings that are submitted to the Office must be on strong, white, smooth, and non-shiny paper and meet the standards according to § 1.84. If corrections to the drawings are necessary, they should be made to the original drawing and a high-quality copy of the corrected original drawing then submitted to the Office. Only one copy is required or desired. Comments on proposed new 37 CFR 1.84. Notice of March 9, 1988 (1990 O.G. 57-62).

NOTE: "Identifying indicia, if provided, should include the application number or the title of the invention, inventor's name, docket number (if any), and the name and telephone number of a person to call if

	•	the Office is unable to match the drawings to the proper application. This information should be placed on the back of each sheet of drawing a minimum distance of 1.5 cm. (5/8 inch) down from the top of the page." 37 C.F.R. 1.84(c)).
		(complete the following, if applicable)
		The enclosed drawing(s) are photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)." 37 C.F.R. 1.84(b).
4.	Addit	ional papers enclosed
		Preliminary Amendment
	X	Information Disclosure Statement (37 C.F.R. 1.98)
	X	Form PTO-1449 (PTO/SB/08A and 08B)
		Citations
		Declaration of Biological Deposit
		Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
		Authorization of Attomey(s) to Accept and Follow Instructions from Representative
		Special Comments
		Other
5.	Decla	ration or oath
	×	Enclosed
	·	Executed by
		(check all applicable boxes)
		inventor(s).
		legal representative of inventor(s): 37 CFR 1.42 or 1.43.
		joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
		☐ This is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See item 13 below for fee.
		Not Enclosed.
W	ARNING.	Where the filing is a completion in the U.S. of an International Application, but where a declaration is not available, or where the completion of the U.S. application contains subject matter in addition to the International Application, the application may be treated as a continuation or continuation-in-part, as the case may be, utilizing ADDED PAGE FOR NEW APPLICATION TRANSMITTAL WHERE

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Application is made by a person authorized under 37 C.F.R. 1.41(c) on behalf of all the above named inventor(s).
(The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently).
NOTE: It is important that all the correct inventor(s) are named for filing under 37 CFR 1.41(c) and 1.53(b).
Showing that the filing is authorized. (not required unless called into question. 37 CFR 1.41(d))
6. Inventorship Statement
WARNING: If the named inventors are each not the inventors of all the claims an explanation, including the ownership of the various claims at the time the last claimed invention was made, should be submitted.
The inventorship for all the claims in this application are:
The same.
or
<ul> <li>Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,</li> </ul>
☐ is submitted.
☐ will be submitted.
7. Language
NOTE: An application including a signed oath or declaration may be filed in a language other than English. A verified English translation of the non-English language application and the processing fee of \$130.00 required by 37 CFR 1.17(k) is required to be filed with the application, or within such time as may be set by the Office. 37 CFR 1.52(d).
NOTE: A non-English oath or declaration in the form provided or approved by the PTO need not be translated. 37 CFR 1.69(b).
▼ English
│ Non-English
☐ The attached translation is a verified translation. 37 C.F.R. 1.52(d).
8. Assignment
An assignment of the invention to M.C.E. SYSTEMS CORP.  A TEXAS CORPORATION
is attached. A separate (COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or FORM PTO 1595 is also attached.
☐ will follow.
NOTE: "If an assignment is submitted with a new application, send two separate letters-one for the application and one for the assignment." Notice of May 4, 1990 (1114 O.G. 77-78).
WARNING: A newly executed "CERTIFICATE UNDER 37 CFR 3.73(b)" must be filed when a continuation-in-part application is filed by an assignee. Notice of April 30, 1993, 1150 O.G. 62-64.

9. Certified Copy Certified copy(ies) of application(s)	
Country	Appln. No.
Country	Appln. No.
Country	Appin. No.

from which priority is claimed

☐ is (are) attached.

□ will follow.

NOTE: The foreign application forming the basis for the claim for priority must be referred to in the oath or declaration. 37 CFR 1.55(a) and 1.63.

NOTE: This item is for any foreign priority for which the application being filed directly relates. If any parent U.S. application or International Application from which this application claims benefit under 35 U.S.C. 120 is itself entitled to priority from a prior foreign application, then complete item 18 on the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

## 10. Fee Calculation (37 C.F.R. 1.16)

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Regular application

		CLAIM	S AS FILED			
Number filed		Number Extra		Rate	Basic Fee 37 C.F.R. 1.16(a \$790.00	
Total Claims (37 CFR 1.16(c))	- 20	=	·×	\$ 22.00	. 0	
Independent Claims (37 CFR 1.16(b))	- 3	=	×	\$ 82.00	0	
Multiple dependent claim( if any (37 CFR 1.16(d))	(s),		+	\$270.00	0	

Amendment			

- Amendment deleting multiple-dependencies is enclosed.
- Fee for extra claims is not being paid at this time.

NOTE: If the fees for extra claims are not paid on filing they must be paid or the claims cancelled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 CFR 1.16(d).

Filing Fee Calculation

\$ 790.00

Filed

Filed

Filed

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<b>B</b> . [	_	Design application (\$330.00—37 CFR		<b>.</b>
		•	Filing Fee Calculation	\$
<b>C.</b> [	_	Plant application (\$540.00—37 CFR	1.16(g))	
			Filing fee calculation	\$
		I Entity Statement		
Ĵ	<b>X</b> _	Verified Statement 1.27 is (are) attact	(s) that this is a filing by a small oned.	entity under 37 CFR 1.9 and
WARN	IING	including applications or patent in which the under 35 U.S.C. 119 filed in the prior app statement in the prior	ity in one application or patent does not all sor patents which are directly or indirectle status has been established. A nonprovie, 120, 121 or 365(c) of a prior application of the nonprovisional application or application application or includes a copy of the last a small entity is still proper and desired.	tly dependent upon the application visional application claiming benefit ion may rely on a verified statement includes a reference to a verified verified statement filed in the prior
		(co	mplete the following, if applicabl	'e)
[			entity was claimed in prior appli	
			, filed on	, from which benefit
		<u> </u>	or this application under:	
		35 U.S.C. ☐ 1°	19(e), 20	
		12	21,	
		. 🗆 36	65(c),	
		and which status	as a small entity is still proper	and desired.
		☐ A copy of th	e verified statement in the prior	application is included.
		Filing Fee Cal	culation (50% of A, B or C above	e) ·
			\$ 39 <b>5</b> .00	
NOTE:	W	-	paid will be refunded if a verified staten te of timely payment of a full fee. The to .28(a).	•
2. R	equ	est for Internation	nal-Type Search (37 C.F.R. 1.10	04(d))
			(complete, if applicable)	
(			international-type search report for mination on the merits takes pla	

13. Fe	e Payr	n nt Being Made at This Tim		
	☐ Not	Enclosed		'
		No filing fee is to be paid at this time. (This and the surcharge required by 37 C.F.R. 1.16 quently.)	i(e) can b	e paid subse
	] Enc	elosed		
	X	、Filing fee	\$	395.00
	Ø	Recording assignment (\$40.00; 37 C.F.R. 1.21(h)) (See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW APPLICATION".)	\$	40.00
		Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached (\$130.00; 37 C.F.R. 1.47 and 1.17(h))	\$	
		_	\$	
		Processing and retention fee (\$130.00; 37 C.F.R. 1.53(d) and 1.21(l))	\$	
		Fee for international-type search report (\$40.00; 37 C.F.R. 1.21(e))	\$	
NOTE:	to comp 1.53 and filing fee	1.21(f) establishes a fee for processing and retaining any application polete the application pursuant to 37 CFR 1.53(d) and this, as we d 1.78, indicate that in order to obtain the benefit of a prior U.S. a must be paid, or the processing and retention fee of § 1.21(f) mution under § 53(d).	ll as the ch	anges to 37 CFR , either the basic
		Total fees enclosed	<b>\$</b> 435.	00
14. M	ethod o	of Payment of Fees		
Þ	<b>∳</b> Che	ck in the amount of \$435.00		
	\$	arge Account No.	in the	amount of
	A d	uplicate of this transmittal is attached.		
NOTE:	Fees sh	ould be itemized in such a manner that it is clear for which purpo	se the fees	are paid. 37 CFR

15. Autho	orization to Charg Additiona	l Fees
WARNING:	: If no fees are to be paid on filing, to	he following items should <u>not</u> be completed.
WARNING:	<ul> <li>Accurately count claims, especially n if extra claim charges are authorized</li> </ul>	nultiple dependent claims, to avoid unexpected high charges, d.
		uthorized to charge the following additional fees ntire pendency of this application to Account No.
	☐ 37 C.F.R. 1.16(a), (f) or (g)	) (filing fees)
		(d) (presentation of extra claims)
mu sei au	ecause additional fees for excess or multi ust only be paid or these claims cance t for response by the PTO in any notic	ple dependent claims not paid on filing or on later presentation fled by amendment prior to the expiration of the time period e of fee deficiency (37 CFR 1.16(d)), it might be best not to im fees, except possibly when dealing with amendments after
		ge for filing the basic filing fee and/or declaration ling date of the application)
	☐ 37 C.F.R. 1.17 (application	n processing fees)
WARNING:	should be made only with the knowle	eal with extensions of time under § 1.136(a), this authorization dge that: "Submission of the appropriate extension fee under a request or petition for extension is filed." (Emphasis added). O.G. 27).
	☐ 37 C.F.R. 1.18 (issue fee pursuant to 37 C.F.R. 1.3	at or before mailing of Notice of Allowance, 11(b))
of .	here an authorization to charge the issu a Notice of Allowance, the issue fee wil mailing the notice of allowance. 37 CF	e fee to a deposit account has been filed before the mailing I be automatically charged to the deposit account at the time FR 1.311(b).
ent fee the	tity status must be filed in the applicat e." From the wording of 37 CFR 1.28(b	any change in status resulting in loss of entitlement to small ion prior to paying, or at the time of paying, issue ), (a) notification of change of status must be made even if " and (b) no notification is required if the change is to another
16. Instru	uctions as to Overpayment	·
	Credit Account No.	·
	Refund	A 1/8/98
	•	SIGNATURE OF PRACTITIONER
Reg. No. 3	31,455	J. DAVID CABELLO
		(type or print name of attorney)
	81) 370–8058	18207 THEISS MAIL ROUTE ROAD
FAX: 2	281–251–4991	P.O. Address
Customer i	No.	
· ·	· - <del>-</del> ·	SPRING, TEXAS 773.79

Incorpora	ation by r ference of added pages
	(check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED)
	s Added Pages for New Application Transmittal Where Benefit of Prior U.S. plication(s) Claimed
	Number of pages added
) Plu	s Added Pages for Papers Referred to in Item 4 Above  Number of pages added  PAGES
X Plu	s "Assignment Cover Letter Accompanying New Application"  Number of pages added 8 PAGES
Statemen	t Where No Further Pages Added
	(if no further pages form a part of this Transmittal, then end this Transmittal with this page and check the following item)
K This	s transmittal ends with this page.